

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



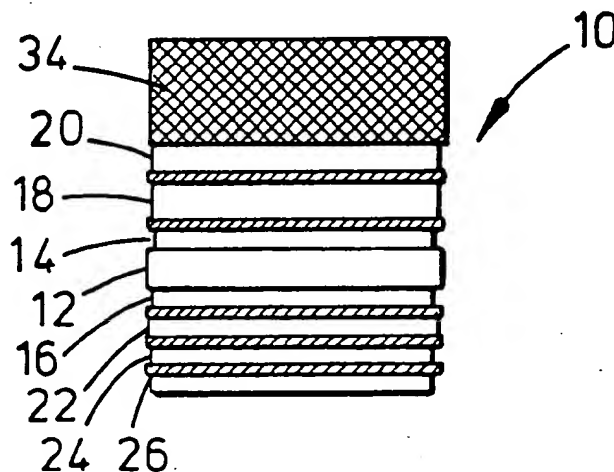
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

|   |           |  |
|---|-----------|--|
| (51) International Patent Classification <sup>5</sup> :<br><b>B06B 1/06</b>   | <b>A1</b> | (11) International Publication Number: <b>WO 94/13411</b><br>(43) International Publication Date: 23 June 1994 (23.06.94)  |
| (21) International Application Number: PCT/GB93/02538<br>(22) International Filing Date: 13 December 1993 (13.12.93)<br>(30) Priority Data:<br>9225898.7                      11 December 1992 (11.12.92)    GB<br>(71) Applicant (for all designated States except US): UNIVERSITY<br>OF STRATHCLYDE [GB/GB]; MacCance Building, 16<br>Richmond Street, Glasgow G1 1XQ (GB).<br>(72) Inventors; and<br>(75) Inventors/Applicants (for US only): HAYWARD, Gordon<br>[GB/GB]; Burnbrae, 2 Clachan, Balfour, Glasgow G63 0NY<br>(GB). POWEL, Davis, James [GB/GB]; Dunpender, 30<br>Dunbar Road, Haddington, East Lothian EH41 3TJ (GB).<br>(74) Agents: McCALLUM, William, Potter et al.; Cruikshank &<br>Fairweather, 19 Royal Exchange Square, Glasgow G1 3AE<br>(GB). |           | (81) Designated States: JP, US, European patent (AT, BE, CH, DE,<br>DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).<br>Published<br>With international search report. |

(54) Title: ULTRASONIC TRANSDUCER

## (57) Abstract

An ultrasonic transducer (10) comprises a flexible transmitter (18), a flexible receiver array (22), and flexible electrodes (14, 16, 20) for the transmitter and receiver. The elements of the transducer are arranged such that the transducer may be flexed for conformity with surfaces of test specimens of a variety of non-planar configurations.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

|    |                          |    |                                       |    |                          |
|----|--------------------------|----|---------------------------------------|----|--------------------------|
| AT | Austria                  | GB | United Kingdom                        | MR | Mauritania               |
| AU | Australia                | GE | Georgia                               | MW | Malawi                   |
| BB | Barbados                 | GN | Guinea                                | NE | Niger                    |
| BE | Belgium                  | GR | Greece                                | NL | Netherlands              |
| BF | Burkina Faso             | HU | Hungary                               | NO | Norway                   |
| BG | Bulgaria                 | IE | Ireland                               | NZ | New Zealand              |
| BJ | Benin                    | IT | Italy                                 | PL | Poland                   |
| BR | Brazil                   | JP | Japan                                 | PT | Portugal                 |
| BY | Belarus                  | KE | Kenya                                 | RO | Romania                  |
| CA | Canada                   | KG | Kyrgyzstan                            | RU | Russian Federation       |
| CF | Central African Republic | KP | Democratic People's Republic of Korea | SD | Sudan                    |
| CG | Congo                    | KR | Republic of Korea                     | SE | Sweden                   |
| CH | Switzerland              | KZ | Kazakhstan                            | SI | Slovenia                 |
| CI | Côte d'Ivoire            | LI | Liechtenstein                         | SK | Slovakia                 |
| CM | Cameroon                 | LK | Sri Lanka                             | SN | Senegal                  |
| CN | China                    | LU | Luxembourg                            | TD | Chad                     |
| CS | Czechoslovakia           | LV | Latvia                                | TG | Togo                     |
| CZ | Czech Republic           | MC | Monaco                                | TJ | Tajikistan               |
| DE | Germany                  | MD | Republic of Moldova                   | TT | Trinidad and Tobago      |
| DK | Denmark                  | MG | Madagascar                            | UA | Ukraine                  |
| ES | Spain                    | ML | Mali                                  | US | United States of America |
| FI | Finland                  | MN | Mongolia                              | UZ | Uzbekistan               |
| FR | France                   |    |                                       | VN | Viet Nam                 |
| GA | Gabon                    |    |                                       |    |                          |

ULTRASONIC TRANSDUCER

This invention relates to ultrasonic transducers, and in particular to ultrasonic transducers for use in conjunction with non-planar test specimens. In addition, the invention relates to a piezo-electric composite for use in such transducers.

Ultrasonic transducers are typically rigid devices due to the inherent physical properties of most piezo-electric materials, such as the PZT family and lead metaniobate. These ceramic materials are normally employed in the construction of piezo-electric devices owing to their high electro-mechanical coupling coefficient although they are not ideally suited for applications operating into a fluid medium or through a couplant material, due to acoustic mismatch. However, there is another family of piezo-electric materials, including the polymer polyvinylidene fluoride (PVDF), that possess the necessary properties for good electrical matching for operation in fluid based media, moreover they also offer a high degree of physical flexibility. Additionally, PVDF, due to its electrical properties, may provide high bandwidth reception properties when operating into a very high input impedance receiver. However such materials are less sensitive than their piezo-electric ceramic counterparts.

In efforts to provide an improved ultrasonic transducer, ideally incorporating the favourable properties of both forms of piezo-electric materials, piezo-electric composites have been developed. Such composites consist of an array of piezo-ceramic rods embedded within a polymer matrix, manufactured using a 'dice and fill' process; a sheet of ceramic is cut longitudinally and transversely to produce a plurality of square pillars which are then spaced apart and located in

the polymer matrix. In such composites, the application of an electric, or pressure field causes the ceramic rods to vibrate, the surrounding polymer moving with the rods to give the appearance of a homogeneous material. This combination of materials serves to provide reduced acoustical impedance properties when compared to pure ceramic and has increased electro-mechanical sensitivity.

Various polymer fillers have been adopted to fabricate such piezo-electric composites, and the use of flexible composites has allowed the forming of curved ultrasonic devices, which may be used to provide good coupling of acoustic energy between the ultrasonic devices and test specimens, such as pipes, with correspondingly curved surfaces. However, efforts to provide flexible ultrasonic devices which are capable of reliable use with test specimens of a variety of curvatures have, as yet, proved unsuccessful.

It is an object of the present invention to provide a flexible ultrasonic transducer suitable for use in conjunction with non-planar test specimens.

According to the present invention there is provided an ultrasonic transducer including a flexible transmitter, a flexible receiver array, and flexible electrodes for the transmitter and receiver, the arrangement being such that the transducer may be flexed for conformity with surfaces of test specimens of a variety of non-planar configurations.

The transmitter and receiver may be integral but are preferably separate, and most preferably the transmitter is located upwardly of the receiver, that is further from the face of the transducer for contact with the test specimen.

Preferably also, the transmitter is of a piezo-electric composite, which most preferably forms a single transmit element for good transmission sensitivity. Such piezo-electric composites comprise a

combination of active piezo-ceramic elements embedded within a passive polymer phase. The elements may be of any suitable form, the dimensions tending to be a compromise between desired flexibility and ease of manufacture. The elements may be in the form of rods but preferably are in the form of platelets having a width:height aspect ratio such that each element of the transmitter acts in a similar manner to a small thickness mode resonator. Conventionally, it is understood that for a material to be treated as a purely thickness mode device it must possess a width:height aspect ratio in excess of 10:1. However, for an operating frequency of 3-5 MHz, the required piezo-ceramic thickness is about 0.5 mm, requiring platelet cross-sectional dimensions in excess of 5.0 mm, and significantly reducing the flexibility of such a device. Surprisingly, it has been found that the platelet's width:height aspect ratio may be as low as 5:1 and still provide results in close agreement with analytical results obtained from a thickness mode transduction model. Thus, with appropriate choice of platelet dimensions it is possible to provide a flexible piezo-electric material that possesses the electro-mechanical properties of the parent ceramic.

Preferably also, the platelet-based piezo-electric composite is bonded to a relatively stiff circuit board, which has been found to reduce many of the spurious modes lying between the lateral and fundamental thickness modes. Conveniently, the circuit board forms an electrode for the composite.

As typical piezo-electric composites are relatively thick, in the order of 100's of microns compared to 10's of microns for piezo-polymers such as PVDF, the surfaces of a piezo-electric composite transmitter are a significant distance from its mechanical neutral axis and thus, when the transducer is flexed, the surfaces of the composites stretch and contract to a significant degree.

Accordingly, the transmitter electrodes, and in particular the upper transmitter electrode, is preferably of a resilient conductive material which may be flexed without cracking or delaminating from the transmitter. It has been found that a suitable materials for use in forming such an electrode include flexible polymers containing or carrying conductive particles or fibres, such as carbon-loaded PTFE. Alternatively, a silver loaded silicon impregnated carbon fibre electrode may be utilised. Such electrodes provide a high degree of electrical conductivity and will be readily flexed when the transducer is placed on test specimens of different surface configurations, without cracking or delaminating from the transmitter. The provision of a more flexible electrode material also allows the electrode to be thicker, and thus have higher conductivity. Where the transducer is intended only for use with curved test specimens it may only be necessary to provide an electrode having these properties on the upper face of the composite transmitter; the lower transmitter electrode, and the electrodes for the receiver may be formed of traditional electrode material with limited flexibility. Most preferably, the lower transmitter electrode is of a relatively soft metal, such as copper or aluminium.

Preferably, the receiver array is formed of a single sheet of flexible piezo-electric material, such as PVDF, which has been etched to define an array of receive elements.

Preferably also, the transmitter and receiver are located on opposite sides of a supporting substrate, for example in the form of a PCB. Most preferably, the substrate is plated with a suitably conductive material, such as copper, to provide electrodes. The conductive layer adjacent the receiver array is most preferably etched to define suitable receive element electrodes.

Most preferably, the lower face of the transducer is provided with a protective coating, such as a polyamide layer.

Also, a matching layer may be provided between the lower face of the transducer and the receiver to improve the transducer performance into particular test specimens.

According to a further aspect of the present invention there is provided a piezo-electric composite comprising a plurality of piezo-ceramic platelets carried by a flexible substrate.

Preferably, the platelets have a width:height aspect ratio of at least around 5:1.

These and other aspects of the present invention will now be described, by way of example, with reference to the accompanying drawings, in which:

Figure 1 is a somewhat schematic sectional view of an ultrasonic transducer in accordance with a first embodiment of the present invention;

Figure 2 is a perspective view, from below, of a composite transmitter of the transducer of Figure 1;

Figure 3 is a perspective of a device including the transducer of Figure 1;

Figure 4 is a perspective view of the device of Figure 3 in use on a cylindrical test specimen;

Figure 5 is a perspective view of a transmitter of a transducer in accordance with another embodiment of the present invention; and

Figure 6 is a sectional view of a transmitter of a transducer in accordance with a further embodiment of the present invention.

Reference is first made to Figure 1 of the drawings, which shows, somewhat schematically, a section through an ultrasonic transducer 10 in accordance with a preferred embodiment of the present invention. In this particular example the transducer 10 comprises various elements adhered to a PCB core 12 provided with copper cladding 14,



16 on both upper and lower sides. As will be described, the upper layer of copper 14 provides an electrode for a transmitter 18, a further transmitter electrode 20 being provided on the upper face of the transmitter 18. The lower copper cladding 16 is etched to provide electrodes for a receiver array 22, the lower face of the array 22 being provided with a matching layer 24 and a protective layer 26 which contacts the surface of a test specimen when the transducer is in use.

The transducer 10 is intended for use in conjunction with non-planar, and in particular curved test specimens and as such the transducer 10 must be flexible and, accordingly, the various elements of the transducer must possess varying degrees of flexibility and resilience.

The PCB core 12 is of a polyamide such as Kapton (RTM) and the fairly limited degree of flexing experienced by the core 12 is also accommodated by a limited stretching and contraction of the copper cladding 14, 16. In this particular example the core 12 is 64 microns thick, while the copper layers 14, 16 are each 16 microns thick, and the core 12 is rectangular of length and width 40 and 20 mm. The other layers of the transducer 10 are affixed to one another by layers of suitable adhesive, in this example 6 micron thick layers of low viscosity epoxy adhesive.

The transmitter 18 is formed of piezo-electric composite, as illustrated in Figure 2 of the drawings, which includes an array of piezo-ceramic rods 28 embedded within a polymer matrix 30, using a "dice and fill process". As the composite transmitter 18 is relatively thick, and this example being between 0.1mm and 1mm thick, the upper surface of the transmitter 18 is a significant distance from its mechanical neutral axis. Thus, when the transducer 10 is flexed to conform with a curved test specimen surface, the upper surface of the composite stretches and requires the electrode 20 to act likewise.

Accordingly, the electrode 20 must be more flexible than the lower, copper transmitter electrode 14 and, in this example, is formed of a layer of carbon-loaded PTFE, such as the carbon loaded Gore-Tex (RTM) which is available from W L Gore & Associates (UK) Limited, of Dunfermline, Scotland. The electrode 20 is typically between 1 and 2mm thick, with a resistance of around 40 - 50 ohms. The lower transmitter electrode 14, being of relatively soft metal, acts to equalise the heave and fall of the rods 28 of the transmitter.

The receiver 22 is formed of a piezo-polymer, in this example a single layer of PVDF, which has been etched to define a total of 80 receive elements. The copper cladding 16 which forms an upper electrode for the receiver 22 is similarly etched to define appropriate electrodes for the receive elements. For a transducer array the spatial sampling rate is determined by the receive element centre-to-centre spacing. To avoid aliasing problems following image reconstruction, the centre-to-centre spacing should ideally be half a wavelength or less. For operation at around 3 MHz this leads to the selection of a spacing of 0.25mm, to allow operation into water.

In this example the matching layer 24 is formed of 20 micron thick aluminium to provide matching for operation into steel specimens. The protective layer 26 is formed of a 25 micron thick layer of Kapton.

The transducer 10 may be used to form part of a transducer device 32, such as illustrated in Figures 3 and 4 of the drawings. Referring in particular to Figure 3, the device 32 is generally of a T-shape and comprises a polyurethane rubber body 34 into which the transducer 10 is embedded, the transducer 10 being located in the leg of the T. Connecting electrodes (not shown) extends through the body 34 from the transducer 10 to a single transmitter connection 36 and an appropriate number (80) of receive

- 8 -

element connections 38.

Figure 4 illustrates the device 32 wrapped around a cylindrical test specimen 40 and with the lower face of the transducer 10 in contact with the surface of the specimen. It will be noted that the drawing also illustrates connecting wires 42 extending from the connections 36, 38 to an appropriate control unit (not shown).

In an alternative embodiment, the carbon-loaded PFTE transmitter electrode 20 may be replaced by a carbon fibre stranded matting impregnated with silver loaded silicon. This electrode is created by placing the carbon fibre matting over the transmitter 18 and then loading the matting with electrically conductive silver loaded silicon resin material which seeps through the mat to provide adherence to the transmitter 18. This provides a rather thick layer with a rough surface finish, which is then lapped down to a more appropriate thickness (around 0.5mm).

It has been found that transducers as described above may be used to locate internal flaws in curved pipes, and tests with 75mm radius steel pipe with a wall thickness of 35mm have clearly identified internal notch and slot flows.

Reference is now made to Figure 5 of the drawings, which illustrates the transmitter 50 of a transducer in accordance with another embodiment of the present invention. In contrast to the first described embodiment, this transmitter 50 comprises piezo-ceramic platelets 52 embedded in a flexible polymer substrate 54 : for high frequency applications (3-5 MHz), it is difficult to satisfy the prerequisite for fine spatial scales with rods due to manufacturing constraints. Figure 5 illustrates a transmitter 50 comprising platelets 52 made from PZT-5A with dimensions 0.5 x 2.5 x 2.5 mm. A thin (100 micron) flexible copper clad board is bonded to one face of the composite, and reduces many of the spurious modes lying between the lateral and fundamental thickness

modes.

Reference is now made to Figure 6 of the drawings, which illustrates a transmitter 60 formed of modified lead titanate (MPT) platelets 61 in a flexible polymer substrate, such a composite demonstrating a significantly reduced lateral coupling co-efficient over that of a PZT-5A composite.

Tests have indicated that transducer structures incorporating such platelet composites may be simulated accurately by a thickness mode transduction model. The ultimate choice of platelet dimensions is a compromise between desired flexibility and ease of manufacture however it has been found that there is a lower limit (5:1) to the platelet's width:height aspect ratio for correspondence with such a model.

With appropriate choice of platelet dimensions, as will be readily apparent to the skilled person, the composite provides a flexible piezo-electric material that possesses the electro-mechanical properties of the parent ceramic, suitable for large aperture applications where the response of the individual platelets may be effectively integrated together.

In the example illustrated in Figure 5, a large aperture MPT platelet composite transmitting element 61 is coupled to a monolithic, highly spatially sampled PVDF reception array 62. A PCB sub-layer 64 provided between the arrays 61,62 benefits the performance of the platelet device and also permits the reception array electrode pattern to be defined on the lower surface thereof by photolithographic etching techniques.

It will be clear to those of skill in the art that the above described embodiments are merely exemplary of the present invention and that various modifications and improvements may be made thereto without departing from the scope of the invention.

CLAIMS

1. An ultrasonic transducer including a flexible transmitter, a flexible receiver array, and flexible electrodes for the transmitter and receiver, the arrangement being such that the transducer may be flexed for conformity with surfaces of test specimens of a variety of non-planar configurations.
2. The transducer of claim 1, wherein the transmitter and receiver are separate.
3. The transducer of claim 2, wherein the transmitter is located upwardly of the receiver.
4. The transducer of claims, 1, 2 or 3, wherein the transmitter is of a piezo-electric composite.
5. The transducer of claim 4, wherein the piezo-electric composite comprises a combination of active piezo-ceramic elements embedded within a flexible substrate.
6. The transducer of claim 5, wherein the piezo-ceramic elements are in the form of platelets.
7. The transducer of claim 6, wherein the platelets have a width:height aspect ratio such that each element acts in a similar manner to a small thickness mode resonator.
8. The transducer of claim 7, wherein the width:height aspect ratio of the platelets is at least 5:1.
9. The transducer of any one of claims 5 to 8, wherein the piezo-electric composite is bonded to a relatively stiff circuit board.

10. The transducer of claim 5, wherein the piezo-ceramic elements are in the form of rods.

11. The transducer of any one of the preceding claims, wherein at least the upper transmitter electrode is of a resilient conductive material.

12. The transducer of any one of the preceding claims, wherein the receiver array comprises a single sheet of flexible piezo-electric material defining an array of receive elements.

13. The transducer of any one of the preceding claims, wherein the transmitter and receiver are located on opposite sides of a supporting substrate.

14. The transducer of any one of the preceding claims, wherein the lower face of the transducer is provided with a protective coating.

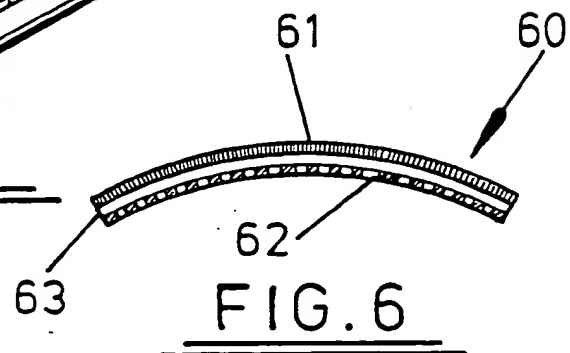
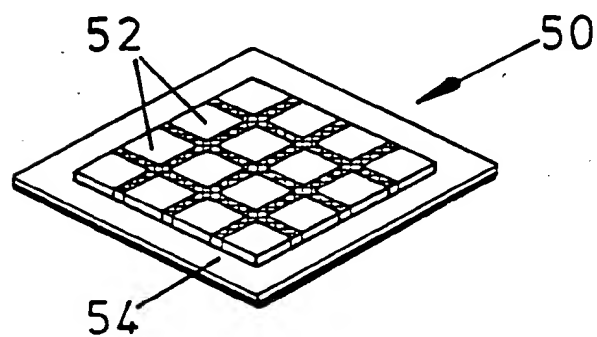
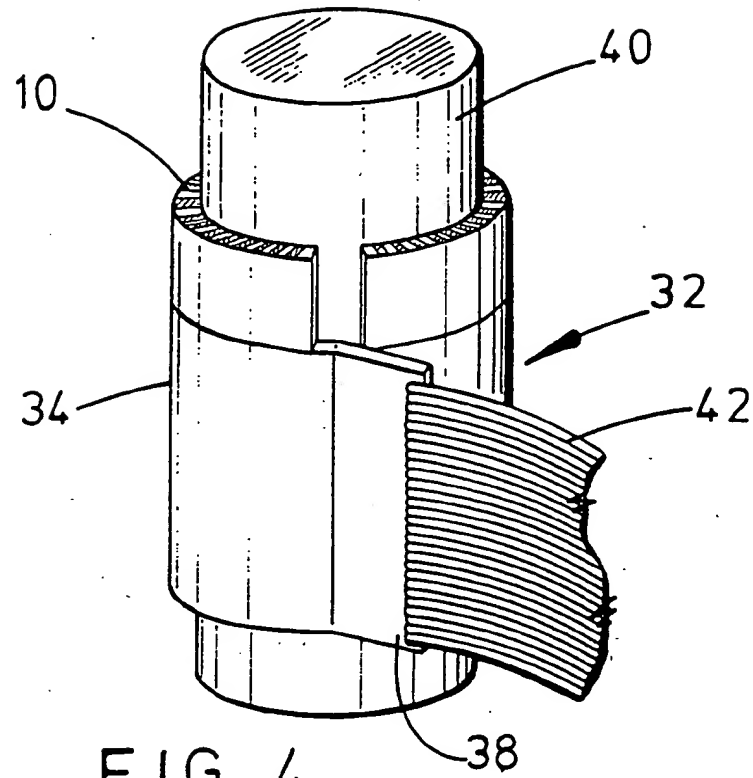
15. The transducer of any one of the preceding claims, wherein a matching layer is provided between the lower face of the transducer and the receiver to improve the transducer performance into particular test specimens.

16. A piezo-electric composite comprising a plurality of piezo-ceramic platelets carried by a flexible substrate.

17. The composite of claim 15, wherein the platelets have a width:height aspect ratio of at least around 5:1.

18. The composite of claim 15 or 16, wherein the platelets are formed of modified lead titanate.







## A. CLASSIFICATION OF SUBJECT MATTER

IPC 5 B06B1/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 5 B06B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
|------------|--|-----------------------|
| A          | US,A,4 359 726 (LEWINER ET AL) 16 November 1982<br>see claims 1,3; figure 2<br>---   | 1                     |
| A          | US,A,4 443 730 (KITAMURA ET AL) 17 April 1984<br>see column 6, line 30 - column 6, line 65<br>---  | 1,14                  |
| A          | PATENT ABSTRACTS OF JAPAN<br>vol. 12, no. 329 (P-754) 7 September 1988<br>& JP,A,63 090 759 (HITACHI LTD) 21 April 1988<br>see abstract<br>--- | 1,5                   |
| A          | DE,B,29 14 031 (SIEMENS AG) 14 May 1980<br>see column 3, line 24 - column 4, line 2;<br>figure 1<br>---<br>-/--                                | 1-3                   |



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

22 March 1994

Date of mailing of the international search report

30.03.94

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+ 31-70) 340-3016

Authorized officer

Anderson, A

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|-----------------------|
| A          | DE,A,34 41 563 (PLATTE) 30 May 1985<br>see claims 1,2; figures 3,4<br>-----        | 1-3                   |

| Patent document<br>cited in search report | Publication<br>date | Patent family<br>member(s)  | Publication<br>date  |
|---|---------------------|---|--|
| US-A-4359726                              | 16-11-82            | FR-A- 2475804<br>EP-A, B 0034077<br>US-E- RE32180   | 14-08-81<br>19-08-81<br>10-06-86   |
| US-A-4443730                              | 17-04-84            | NONE  |  |
| DE-B-2914031                              | 14-05-80            | AT-T- 2293<br>AU-A- 5715680<br>CA-A- 1154861<br>EP-A, B 0017216<br>JP-C- 1225107<br>JP-A- 55140392<br>JP-B- 58056320<br>US-A- 4354132 | 15-02-83<br>09-10-80<br>04-10-83<br>15-10-80<br>31-08-84<br>01-11-80<br>14-12-83<br>12-10-82 |
| DE-A-3441563                              | 30-05-85            | NONE  |  |